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PTO/SB/21 (6-98)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/829,072

Filing Date

4/9/2001

First Named Inventor

John Lawrence Clift

Group Art Unit

2161

Examiner Name

TBA

Attorney Docket Number

CLIFT-2 (temp.)

ENCLOSURES *(check all that apply)*

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Copy of Notice to File Missing Parts of NonProvisional Application; Declaration and Power of Attorney; return receipt postcard.
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Harry K. Ahn
Signature	
Date	July 31, 2001

CERTIFICATE OF MAILING

 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 7/31/2001

Typed or printed name	Harry K. Ahn
Signature	
Date	July 31, 2001

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/829,072	04/09/2001	John Lawrence Clift	CLIFT-TEMP2

CONFIRMATION NO. 7425

26453
BAKER & MCKENZIE
805 THIRD AVENUE
NEW YORK, NY 10022

FORMALITIES LETTER



OC00000006145441

Date Mailed: 06/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/06/2001 HVUDH61 00000012 020393 09829072

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CLIFT-2 (temp.)

IN THE UNITED PATENT AND TRADEMARK OFFICE

Applicant: John Lawrence Clift

Application No.: 09/829,072

U.S. Filing Date: April 9, 2001

Title: SYSTEM AND METHOD FOR IMPROVING PRODUCTIVITY OF INDIVIDUAL PERSONS

Group Art Unit: 2161 Examiner: Not Yet Assigned

Assistant Commissioner for Patents
Washington, D.C. 20231
Box Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

This responds to the Notice to File Missing Parts of NonProvisional Application dated June 4, 2001, a copy of which is enclosed.

Enclosed please find a Declaration executed by the inventor for the above-identified application. This should correct the informalities and overcome the objections raised.

The Patent Office is hereby authorized to charge the surcharge fee of \$65.00 (small entity) and any other fee that may be required to Deposit Account No. 02-0393.

Respectfully,

specularly,
Harry Allen

Harry K. Ahn
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Baker & McKenzie
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~~Harry K. Ahn~~ (Reg. No. 40,243)

July 31, 2001
Date